



Helmut Boisch Chief Operating Officer

Helmut Boisch is the Chief Operating Officer of Dunham & Associates Investment Counsel, Inc. (Dunham). His past work includes senior roles across Operations, Information Technology, and Compliance. During his 20 years of financial services industry experience, Helmut has been involved in a number of transformative projects. These include helping large global businesses scale their operational processes in the front, middle, and back offices. Other projects included the migration of portfolio order management systems, compliance systems, the onboarding of fund administration and custody outsource solutions, as well as establishing middle office efficiencies and scaling opportunities.

Prior to joining the firm in 2022, Helmut served in a variety of roles including Global Head of Vendor Management for Allianz Global Investors with a team located in Frankfurt, Munich, New York, and San Diego. During this time, he managed a portfolio of Operations, IT, and Product department key strategic vendors. Helmut also served as US Head of Operations Project Management where he created a project management platform. Before this he was the Deputy Chief Compliance Officer for a US Registered Investment Advisor and US 1940 Act Mutual Funds.

Also, Helmut has previously served as Global Head of Investment Compliance for AIG Asset Management and had various roles in IT, Operations, and client servicing at Neuberger Berman, Lehman Brothers, and Bloomberg LP. Helmut also founded a software company providing finance and government operations workflow solutions as well as compliance and law enforcement software solutions.

Helmut received his MBA in Organizational Management with Honors from Eastern University in Pennsylvania and carries a B.A. from Rutgers University in New Jersey with a double major in Economics and German and has attained the Project Management Professional (PMP)® Certificate from the Project Management Institute. He has a FINRA Series 7 and 24 licenses and is a military veteran of the US Armed Forces having served overseas in Operation Iraqi Freedom.

At a Glance

- ✓ Chief Operating Officer
- ✓ Responsible for the overall corporate operations, information technology, and client services.
- ✓ 20 Years of Financial Services experience including senior roles across several large multinational Investment Firms with a focus on developing operational scaling opportunities
- ✓ Helmut received his MBA in Organizational Management with Honors from Eastern University, Pennsylvania

About Dunham

In 1985, Dunham was founded with the uncommon idea that fees paid by clients should be tied, in some way, to the performance of the investments they own. Today, Dunham and Dunham Trust have grown to become a multi-billion dollar firm, but we are still driven by the values of fairness and accountability that was envisioned almost four decades ago.